

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

10/807,594

Application of:

Webber, et al.

Confirmation No.:

3631

Filed:

March 24, 2004

Group Art Unit:

1756

Examiner:

Duda, Kathleen

Attorney Docket No.:

H-369

Customer No.:

26245

Cambridge, Massachusetts October 17, 2005

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Sir:

The applicant is filing this Information Disclosure Statement to make of record US and foreign patents and applications, and non-patent references. The references it is desired to make of record are listed on the accompanying forms.

All of the references filed herewith are in the English language so that, pursuant to 37 CFR 1.98(a)(3), no statement of the relevance of each reference is required.

The Examiner is respectfully asked to note that, for the convenience of both the Examiner and the undersigned attorney, certain prior art documents mentioned in the application have been replaced by their US equivalents or other convenient equivalents, as follows:

Webber, et al. Serial No. 10/807,594 Information Disclosure Statement Of October 17, 2005 Page 2

Document not supplied	Alternative supplied
US20020171910	US6870661
US20020131147	US6866760
US20030214697	US6865010
US20020180688	US6864875
US20040008398	US6842279
US20030034949	US6842167
US20030137717	US6839158
US20030025855	US6831769
US20030053189	US6825970
US20020019081	US6825068
US20020185378	US6822782
US20030038755	US6819471
US20020021270	US6816147
US20030011868	US6753999
US20030096113	US6721083
US20020106847	US6683333
US20030189749	US6580545
US20020145792	US6538801
US20020063677	US6518949
US20020053900	US6512354
WO 99/67678	EP1145072B1
WO 03/092077	USUS2003-222315
WO 00/38001	US6724519
WO 01/08241	US6842657
WO 01/27690	US6870657

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In accordance with 37 CFR 1.98(a)(2) no copies of the listed U.S. patents and applications are being filed. Copies of foreign and non-patent references B1-B11 and C1- C24 are filed herewith.

Because this Information Disclosure Statement is being filed prior to the issue of the first Office Action, it is believed that no fee is required in connection with this Information Disclosure Statement. If however any fee is required, please charge the assignee's Deposit Account 501162, on which the undersigned attorney is an authorized signatory

Respectfully submitted,

and J- Cole

David J. Cole Registration No. 29629

E INK Corporation 733 Concord Avenue Cambridge MA 02138

Telephone (617) 499-6069 Fax (617) 499-6200 E-mail dcole@eink.com

DJC/grw



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Using as many sheets as necessary)

Complete if Known					
Application Number	10/807,594				
Filing Date	March 24, 2004				
First Named Inventor	Webber				
Art Unit	1756				
Examiner Name	Duda, Kathleen				
Attorney Docket No.	H-369				

			U.S. Patent	Documents	
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A1	D485294	01/13/2004	Albert	
	A2	20050099672	05/12/2005	Jacobson et al.	
	A3	20050035941	02/17/2005	Albert et al.	
	A4	20050000813	01/06/2005	Pullen et al.	
	A5	20040257635	12/23/2004	Paolini, Jr. et al.	
	A6	20040252360	12/16/2004	Webber et al.	
	A7	20040233509	11/25/2004	Zhang et al.	
	A8	20040196215	10/07/2004	Duthaler et al.	·
	A9	20040180476	09/16/2004	Kazlas et al.	
	A10	20040155857	08/12/2004	Duthaler et al.	
	A11	20040136048	07/15/2004	Arango et al.	
	A12	20040119681	06/24/2004	Albert et al.	
	A13	20040112750	06/17/2004	Jacobson et al.	
	A14	20040105036	06/03/2004	Danner et al.	
	A15	20040094422	05/20/2004	Pullen et al.	
	A16	20040075634	04/22/2004	Gates	
	A17	20040027327	02/12/2004	LeCain et al.	
	A18	20040014265	01/22/2004	Kazlas et al.	
	A19	20040012839	01/22/2004	Cao et al.	
	A20	20030222315	12/04/2003	Amundson et al.	
	A21	20030214695	11/20/2003	Abramson et al.	
	A22	20030151702	08/14/2003	Morrison et al.	
	A23	20030137521	07/24/2003	Zehner et al.	
	A24	20030132908	07/17/2003	Herb et al.	
	A25	20030102858	06/05/2003	Jacobson et al.	
	A26	20030020844	01/30/2003	Albert et al.	
	A27	20030011560	01/16/2003	Albert et al.	
	A28	20020180687	12/05/2002	Webber	
	A29	20020151246	10/17/2002	Ikeda et al.	
	A30	20020130832	09/19/2002	Baucom et al.	

		
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Substitute for form 1449/PTO			Con	nplete if Known
			Application Number	10/807,594
INFORM	ATION	DISCLOSURE	Filing Date	March 24, 2004
			First Named Inventor	Webber
STATEN	STATEMENT BY APPLICANT		Art Unit	1756
(Using as many sheets as necessary)			Examiner Name	Duda, Kathleen
Sheet: 2	of	9	Attorney Docket No.	H-369

	U.S. Patent Documents							
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant			
	A31	20020113871	08/22/2002	Sakamaki et al.	Passages or Figures Appear			
	A32	20020113770	08/22/2002	Jacobson et al.				
	A33	20020113770	07/11/2002	Wilcox et al.				
	A34	20020063661	05/30/2002					
	A35	20020060321		Comiskey et al.				
	A36	6870661	05/23/2002	Kazlas et al.	· · · · · · · · · · · · · · · · · · ·			
	A37	6870657	03/22/2005	Pullen et al.				
	A38	6866760	03/22/2005	Fitzmaurice et al.				
			03/15/2005	Paolini, Jr. et al.				
	A39	6865010	03/08/2005	Duthaler et al.				
	A40	6864875	03/08/2005	Drzaic et a.				
	A41	6842657	01/11/2005	Drzaic et al.				
	A42	6842279	01/11/2005	Amundson				
	A43	6842167	01/11/2005	Albert et al.				
	A44	6839158	01/04/2005	Albert et al.				
	A45	6831769	12/14/2004	Holman et al.				
	A46	6825970	11/30/2004	Goenaga et al.				
	A47	6825829	11/30/2004	Albert et al.				
	A48	6825068	11/30/2004	Denis et al.				
	A49	6822782	11/23/2004	Honeyman et al.				
	A50	6819471	11/16/2004	Amundson et al.				
	A51	6816147	11/09/2004	Albert				
	A52	6788449	09/07/2004	Liang et al.				
	A53	6753999	06/22/2004	Zehner et al.				
	A54	6750473	06/15/2004	Amundson et al.				
	A55	6738050	05/18/2004	Comiskey et al.				
	A56	6727881	04/27/2004	Albert et al.				
	A57	6724519	04/20/2004	Comiskey et al.				
	A58	6721083	04/13/2004	Jacobson et al.				
	A59	6717716	04/06/2004	Kawai				
	A60	6710540	03/23/2004	Albert et al.				

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	U.S. Patent Documents							
Examiner Initials*	Cite No. *1 Document Number		Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear			
	A61	6704133	03/09/2004	Gates et al.				
	A62	6693620	02/17/2004	Herb et al.				
	A63	6683333	01/27/2004	Kazlas et al.				
•	A64	6680725	01/20/2004	Jacobson				
	A65	6672921	01/06/2004	Liang et al.				
	A66	6664944	12/16/2003	Albert et al.				
	A67	6657772	12/02/2003	Loxley				
	A68	6652075	11/25/2003	Jacobson				
	A69	6639578	10/28/2003	Comiskey et al.				
	A70	6580545	06/17/2003	Morrison et al.				
	A71	6545291	04/08/2003	Amundson et al.				
	A72	6538801	03/25/2003	Jacobson et al.				
	A73	6535197	03/18/2003	Comiskey et al.				
	A74	6531997	03/11/2003	Gates et al.				
	A75	6521489	02/18/2003	Duthaler et al.				
·	A76	6518949	02/11/2003	Drzaic				
	A77	6515649	02/04/2003	Albert et al.				
	A78	6512354	01/28/2003	Jacobson et al.				
	A79	6506438	01/14/2003	Duthaler et al.				
	A80	6504524	01/07/2003	Gates et al.				
	A81	6498114	12/24/2002	Amundson et al.				
	A82	6487002	11/26/2002	Biegelsen				
	A83	6480182	11/12/2002	Turner et al.				
	A84	6473072	10/29/2002	Comiskey et al.				
	A85	6459418	10/01/2002	Comiskey et al.				
	A86	6445489	09/03/2002	Jacobson et al.				
	A87	6445374	09/03/2002	Albert et al.				
	A88	6422687	07/23/2002	Jacobson				
	A89	6413790	07/02/2002	Duthaler et al.				
	A90	6392786	05/21/2002	Albert				

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INFORMATION DISCLOSURE				First Named Inventor	Webber
S	TATEN	MENT B	Y APPLICANT	Art Unit	1756
(Using as many sheets as necessary)				Examiner Name	Duda, Kathleen
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	U.S. Patent Documents							
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear			
	A91	6392785	05/21/2002	Albert et al.	••			
	A92	6377387	04/23/2002	Duthaler et al.				
	A93	6376828	04/23/2002	Comiskey				
	A94	6327072	12/04/2001	Comiskey et al.				
	A95	6323989	11/27/2001	Jacobson et al.				
	A96	6312971	11/06/2001	Amundson et al.				
	A97	6312304	11/06/2001	Duthaler et al.				
	A98	6301038	10/09/2001	Fitzmaurice et al.				
	A99	6300932	10/09/2001	Albert				
	A100	6271823	08/07/2001	Gordon , il et al.				
	A101	6262833	07/17/2001	Loxley et al.				
	A102	6262706	07/17/2001	Albert et al.				
	A103	6252564	06/26/2001	Albert et al.				
	A104	6249271	06/19/2001	Albert et al.				
	A105	6241921	06/05/2001	Jacobson et al.				
	A106	6232950	05/15/2001	Albert et al.				
	A107	6225971	05/01/2001	Gordon , II et al.				
	A108	6184856	02/06/2001	Gordon , II et al.				
	A109	6177921	01/23/2001	Comiskey et al.				
	A110	6172798	01/09/2001	Albert et al.				
	A111	6153075	11/28/2000	Nemelka				
	A112	6147791	11/14/2000	Sheridon				
	A113	6144361	11/07/2000	Gordon , II et al.				
	A114	6137467	10/24/2000	Sheridon et al.				
	A115	6130774	10/10/2000	Albert et al.				
	A116	6130773	10/10/2000	Jacobson et al.				
	A117	6128124	10/03/2000	Silverman				
	A118	6124851	09/26/2000	Jacobson				
	A119	6120839	09/19/2000	Comiskey et al.				
	A120	6120588	09/19/2000	Jacobson				

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Substitute for	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Using as many sheets as necessary) Sheet: 5 of 9	Con	nplete if Known			
				Application Number	10/807,594	
INI	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Using as many sheets as necessary)	Filing Date	March 24, 2004			
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STATEMENT BY APPLICANT		SY APPLICANT	Art Unit	1756		
	(Usin	g as many she	eets as necessary)	Examiner Name	Duda, Kathleen	
Sheet:	5	of	9	Attorney Docket No.	H-369	

			U.S. Patent		
Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
	A121	6118426	09/12/2000	Albert et al.	
	A122	6097531	08/01/2000	Sheridon	
	A123	6067185	05/23/2000	Albert et al.	
	A124	6055091	04/25/2000	Sheridon et al.	
	A125	6054071	04/25/2000	Mikkelsen, Jr.	
	A126	6017584	01/25/2000	Albert et al.	
	A127	5975680	11/2/99	Wen et al.	
	A128	5961804	10/05/1999	Jacobson et al.	
	A129	5930026	07/27/1999	Jacobson et al.	
	A130	5872552	02/16/1999	Gordon , II et al.	
	A131	5808783	09/15/1998	Crowley	
	A132	5777782	07/07/1998	Sheridon	
	A133	5760761	06/02/1998	Sheridon	
	A134	5745094	04/28/1998	Gordon , II et al.	
	A135	5582703	12/10/1996	Sluzky et al.	
	A136	4418346	11/29/1983	Batchelder	
	A137	3892568	07/01/1975	Ota	
	A138	3870517	03/11/1975	Ota et al.	
	A139	3792308	02/12/1974	Ota	
	A140	3767392	10/23/1973	Ota	
	A141	3756693	09/14/1973	Ota	
	A142	3668106	06/06/1972	Ota	
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	Foreign Patent Documents						
Examiner Initials*	Cite No.	Document Number Country Code - Number - Kind Code (if known)		Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear	T*2
	B1	JP	2001-265262	09/28/2001	Canon		
	B2	JP	2001-264824	09/26/2001	Canon		
	В3	JP	2000-322002	11/24/00	Canon		
	B4	РСТ	WO 03/107315	12/24/2003	E Ink Corporation		
	B5	РСТ	WO 01/07961	02/01/2001	E Ink Corporation		
	B6	PCT	WO 00/67327	11/09/2000	E Ink Corporation		
	В7	PCT	WO 00/67110	11/09/2000	E Ink Corporation		
	B8	PCT	WO 00/38000	06/29/2000	E Ink Corporation		
	В9	PCT	WO 00/36560	06/22/2000	E Ink Corporation		
	B10	PÇT	WO 00/05704	02/03/2000	E Ink Corporation		
	B11	EP	1 145 072B1	05/07/2003	E Ink Corporation		
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	NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. *1	Document Citation	T*2		
	C1	Amundson, K., et al., "Flexible, Active-Matrix Display Constructed Using a Microencapsulated Electrophoretic Material and an Organic-Semiconductor-Based Backplane", SID 01 Digest, 160 (June 2001)			
	C2	Bach, U., et al., "Nanomaterials-Based Electrochromics for Paper-Quality Displays", Adv. Mater, 14(11), 845 (2002)			
	СЗ	Bocarsley, A.B.; Tachikawa, H.; and Faulkner, L.R. in "Laboratory techniques in electroanalytical chemistry," 2nd edition, eds. Kissinger, P.T. and Heineman, W.R., 855898, Marcel Dekker, New York: 1996			
	C4	Chen, Y., et al., "A Conformable Electronic Ink Display using a Foil-Based a-Si TFT Array", SID 01 Digest, 157 (June 2001)			
	C5	Comiskey, B., et al., "An electrophoretic ink for all-printed reflective electronic displays", Nature, 394, 253 (1998)			
	C6	Comiskey, B., et al., "Electrophoretic Ink: A Printable Display Material", SID 97 Digest (1997), page 75			
	C7	Danner, G.M. et al., "Reliability Performance for Microencapsulated Electrophoretic Displays with Simulated Active Matrix Drive", SID 03 Digest, 573 (2003)			
	C8	Drzaic, P., et al., "A Printed and Rollable Bistable Electronic Display", SID 98 Digest (1998), page 1131			
	C9	Duthaler, G., et al., "Active-Matrix Color Displays Using Electrophoretic Ink and Color Filters", SID 02 Digest, 1374 (2002)			
	C10	Hayward, R.C.; Saville, D.A.; Aksay, I.A. "Electrophoretic assembly of colloidal crystals with optically tunable micropatterns," Nature, 404, 56-59, (2000)			

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STATEMENT BY	APPLICANT	Art Unit	1756	
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Sheet: 8 of:	9	Attorney Docket No.	H-369	

		NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. *1	Document Citation	T*2
	C11	Henzen, A. et al., "Development of Active Matrix Electronic Ink Displays for Handheld Devices", SID 03 Digest, 176, (2003)	
	C12	Jacobson, J., et al., "The last book", IBM Systems J., 36, 457 (1997)	
	C13	Jo, G-R, et al., "Toner Display Based on Particle Movements", Chem. Mater, 14, 664 (2002)	
	C14	Kazlas, P., et al., "12.1" SVGA Microencapsulated Electrophoretic Active Matrix Display for Information Applicances", SID 01 Digest, 152 (June 2001)	
	C15	Kitamura, T., et al., "Electrical toner movement for electronic paper-like display", Asia Display/IDW '01, page 1517, Paper HCS1-1 (2001)	
	C16	Morrison, S. R., "Electrochemistry at semiconductor and oxidized metal electrodes," Plenum Press, New York: 1980	
	C17	Napper, D.H., "Polymeric Stabilization of Colloidal Dispersions", Academic Press: London, 1983	
	C18	O'Regan, B. et al., "A Low Cost, High-efficiency Solar Cell Based on Dye-sensitized colloidal TiO2 Films", Nature, Volume 353, October 24, 1991, 773-740	
	C19	Pitt, M.G., et al., "Power Consumption of Microencapsulated Electrophoretic Displays for Smart Handheld Applications", SID 02 Digest, 1378 (2002)	
	C20	van den Meerakker, J.E.A.M.; Meulenkap, E.A.; and Scholten, M., "Photochemical characterization of tin doped indium oxide," J. Applied Phys., 74, 3282-3288, (1993)	

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	Application Number	10/807,594	
INFORMATION DISCLOSURE	Filing Date	March 24, 2004	
	First Named Inventor	Webber	
STATEMENT BY APPLICANT	Art Unit	1756	
(Using as many sheets as necessary)	Examiner Name	Duda, Kathleen	

Attorney Docket No.

H-369

NON-PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. *1	Document Citation			
	C21	Webber, R., "Image Stability in Active-Matrix Microencapsulated Electrophoretic Displays", SID 02 Digest, 126 (2002)			
	C22	Wood, D., "An Electrochromic Renaissance?" Information Display, 18(3), 24 (March 2002)			
	C23	Yamaguchi, Y., et al., "Toner display using insulative particles charged triboelectrically", Asia Display/IDW '01, page 1729, Paper AMD4-4 (2001)			
	C24	Zehner, R. et al., "Drive Waveforms for Active Matrix Electrophoretic Displays", SID 03 Digest, 842 (2003)			
	1				

Examiner		
Signature	Date Considered	

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with

^{*1}Applicant's unique citation designation number (optional). *2Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.